

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Confirmation No. 3378

Mitsuaki OSHIMA et al.

Docket No. 2000_1420

Serial No. 09/686,467

Group Art Unit 2634

Filed October 12, 2000

Examiner A. Le

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COMMUNICATION SYSTEM

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Patentees request consideration of [X] the references listed on attached form PTO-1449 and/or [] the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No. .

1a. [X] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. [] This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) [] the certification of paragraph 2 below is provided, or
- (2) [] the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.
- 1c. [] This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 2. It is hereby certified
 - a. [] that each item of information contained in this Information Disclosure
 Statement was first cited in any communication from a foreign patent office in a
 counterpart foreign application not more than three months prior to the filing of
 the Statement, or
 - b. [] that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.
- 3. [] Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
- 4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
 - a. [] a full or partial English language translation submitted herewith,

- b. [] a foreign patent office search report (in the English language) submitted herewith,
- c. [] the concise explanation contained in the specification of the present application at page,
- d. [X] the concise explanation set forth in the attached English language abstract,
- e. [X] the concise explanation set forth below or on a separate sheet attached to the reference:

Japanese Patent No. 3-69295 corresponds to U.S. Patent No. 5,128,758 Japanese Patent No. 2-260726 corresponds to U.S. Patent No. 5,038,402

5. [X] A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Mitsuaki OSHIMA et al.

Jestre R Filipek

Registration No. 41,471 Autorney for Patentees

JRF/fs Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 January 30, 2004

Sheet 1 of 2									
FORM PTO 1449 (modified)				ATTY DOCKET NO. 2000_1420	SERIAL NO. 09/686,467				
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S)				APPLICANT Mitsuaki OSHIMA et al.					
(Use several sheets if necessary) Date Submitted to PTO: January 30, 2004				FILING DATE October 12, 2000			GROUP 2634		
			U.	S. PATENT DOCUMENTS					
*EXAMINER		DOCUMENT NUMBER	DATE	NAME	CL	LASS	SUBCLASS	FILING DA APPROPE	
211.5	AA	5,128,758	7-7-92	Azadegan et al.					
AN 3 0 2004 W	АВ	5,038,402	8-6-91	Robbins			RF	CEIVE	-n
PADEMARKO	AC	5,638,112	6-10-97	Bestler et al.				0 2 2004	
	AD	5,063,445	11-5-91	Nishizawa et al.					
	AE	5,819,000	10-6-98	Oshima			1601111014	gy Center	2000
	AF	5,999,569	12-7-99	Oshima					
	AG	5,892,879	4-6-99	Oshima					
	АН	6,049,651	4-11-00	Oshima					
	Al	6,256,357	7-3-01	Oshima					
			FORE	EIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	CL	ASS	SUBCLASS	TRANSLATION YES NO	
	AJ	3-69295	3-25-91	Japan					x
	AK	2-260726	10-23-90	Japan					х
	AL	58-161427	9-26-83	Japan				abstract	
	АМ	57-39629	3-4-82	Japan				abstract	
	AN	58-161547	9-26-83	Japan				abstract	
		OTHER	DOCUMENT(S) (I	ncluding Author, Title, Date, Pertine	ent Pages,	. Etc.)			
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	AQ								
EXAMINER	<u></u>			DATE CONSIDER	———		<u> </u>		

Sheet 2 of 2									
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				S. PATENT DOCUMENTS		<u></u>			
*EXAMINER		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
O'' & REVEN	AA	5,170,413	12-8-92	Hess et al.					
JAN 3 0 2004	АВ								
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	AD						2 2004		
	AE					Technolog	Center 26	300	
	AF								
	AG								
			FORE	EIGN PATENT DOCUMENTS		1			
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS TRANSLATION YES NO			
	АН	63-180222	7-25-88	Japan			abstract		
·	Al	2-94814	4-5-90	Japan			abstract		
	AJL	61-70861	4-11-86	Japan			abstract		
	AK	2-218279	8-30-90	Japan			abstract		
	AL	2,095,435	5-10-92	Canada			Х		
	АМ	91/20137	12-26-91	wo			Х		
	AN	92/22162	12-10-92	wo			Х		
		OTHER (DOCUMENT(S) (I	Including Author, Title, Date, Pertine	ent Pages, Etc.)				
:	AO								
	AP								
	AQ						· · · · · · · · · · · · · · · · · · ·		
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EXAMINER				DATE CONSIDER	(ED				

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copthis form with next communication to applicant.